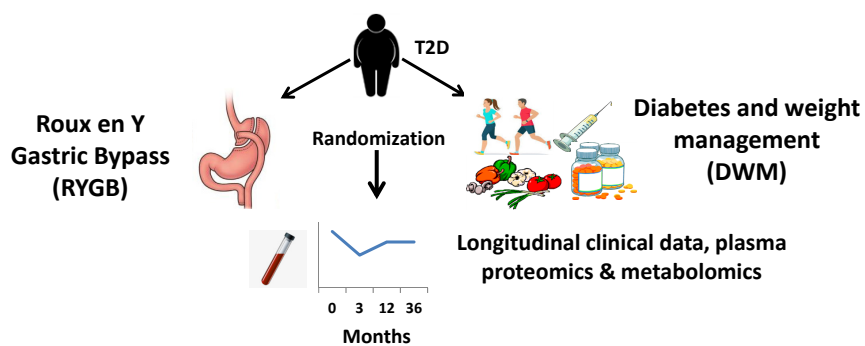


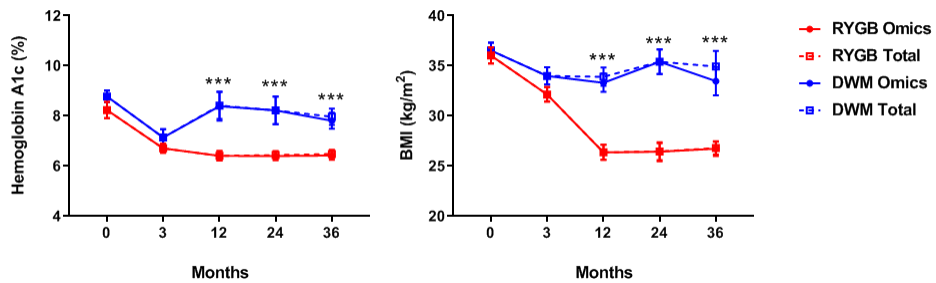
*High-throughput mediation analysis  
(Hitman) of human plasma proteome  
and metabolome identifies mediators of  
post-surgical diabetes control*

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## SLIMM-T2D trial



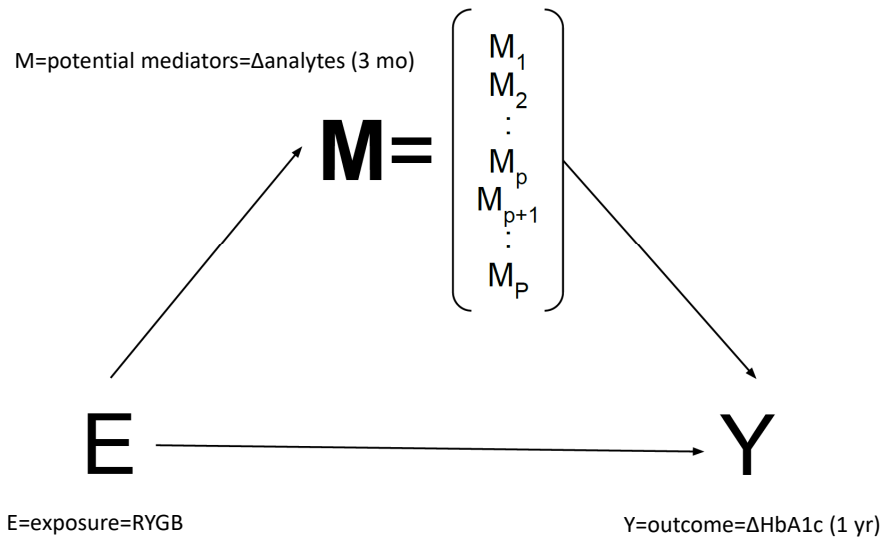
## HbA1c & BMI over time



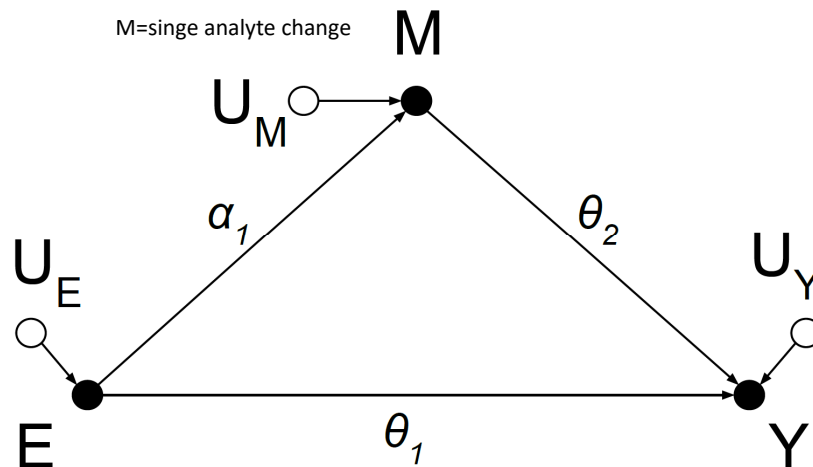
## Goal

- Identify if any analyte changes are part of the mechanism of RYGB, and so can be modulated non-surgically to improve diabetes control
- So want mediation analysis

## Causal model with all mediators



## Causal model per mediator



## Linear structural equation model (SEM)

- Linear SEM per mediator:
  - $E = \nu_0 + U_E$  (1)
  - $M = \alpha_0 + \alpha_1 E + U_M$  (2)
  - $Y = \theta_0 + \theta_1 E + \theta_2 M + U_Y$  (3)
- Indirect or mediation effect =  $\alpha_1 \theta_2$
- Direct effect =  $\theta_1$

## Mediation approaches

- Joint significance (JS) method tests  $\alpha_1 = 0$  &  $\theta_2 = 0$ , so  $p = \max(p_1, p_2)$
- Product method tests  $\alpha_1 \theta_2 = 0$
- Difference in coefficient method considers the model  $Y = \lambda_0 + \lambda_1 E + U_Y$  and tests  $\lambda_1 - \theta_1 = 0$
- Bootstrap method tests  $\alpha_1 \theta_2 = 0$  by comparing product to bootstrapped products
- Potential outcome-based method tests difference of potential outcomes between having  $\Delta$ analyte from RYGB vs  $\Delta$ analyte from DWM. Tests with bootstraps or other sampling.

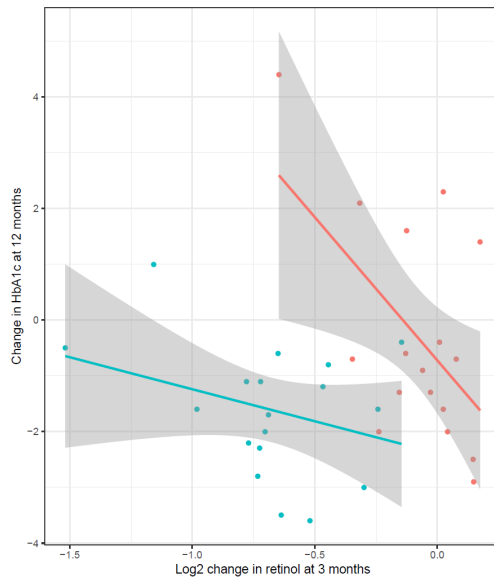
## Two comparisons chose JS test

- MacKinnon DP, Lockwood CM, Hoffman JM, West SG, Sheets V. A comparison of methods to test mediation and other intervening variable effects. *Psychol Methods*. 2002;7(1):83–104.
- Barfield R, Shen J, Just AC, et al. Testing for the indirect effect under the null for genome-wide mediation analyses. *Genet Epidemiol*. 2017;41(8):824–833.

## Drawbacks of joint significance test

- Not designed for omics datasets, so doesn't exploit empirical Bayes modelling of analyte's variance, e.g. with the linear modeling R package *limma*
- Does not penalize *inconsistent* mediators, where  $\alpha_1$  &  $\theta_2$  are significantly different from 0, but direction of mediation is not consistent with direction of total effect of E on Y

## Inconsistent mediator: retinol



- RYGB  $\rightarrow$   $\downarrow$  HbA1c
- RYGB  $\rightarrow$   $\downarrow$  retinol
- $\downarrow$  retinol  $\rightarrow$   $\uparrow$  HbA1c
- Retinol mediation is in **wrong** direction

## Hitman: limma

- Limma models analyte abundance as dependent variable
- Can test  $\alpha_1$  in  $M = \alpha_0 + \alpha_1 E + U_M$  (eqn 2)
- Can't test  $\theta_2$  in  $Y = \theta_0 + \theta_1 E + \theta_2 M + U_Y$  (eqn 3)
- Instead, regress  $Y = \lambda_0 + \lambda_1 E + \epsilon_Y$
- Then test  $\theta_2 = 0$  with limma in  $u_M = \epsilon_Y * \theta_2 + \epsilon$

## Hitman: direction

- Considers direction of effect, allowing “one sided” testing
  - If consistent,  $p_{\text{Hitman}} = p_{\text{JSL}}/2$
  - Else,  $p_{\text{Hitman}} = 1 - p_{\text{JSL}}/2$
  - So, retinol  $p_{\text{Hitman}} = 0.97$
- $p_{\text{JSL}}$  is joint significance implemented with limma

## Simulation settings

- Simulations followed those of Barfield et al. & Mackinnon et al.
- We simulated all combinations of  $\alpha_1, \theta_2$  in (0, 0.14, 0.39), which correspond to “zero”, “small,” and “medium” effect size
- We tested in what proportion of 10,000 simulations with 50 samples the mediator achieved a p-value 0.05

## Simulation results

$\alpha_1$	$\theta_2$	Hitman	joint	mediate
0	0	0.0057	0.0022	0.002
0	0.14	0.0124	0.0062	0.005
0.14	0	0.0157	0.008	0.007
0	0.39	0.0399	0.0364	0.03
0.39	0	0.0477	0.0375	0.028
0.14	0.14	0.0629	0.0232	0.015
0.14	0.39	0.206	0.1084	0.103
0.39	0.14	0.2067	0.1112	0.127
0.39	0.39	0.6824	0.5389	0.574

## Few mediation methods for high-throughput

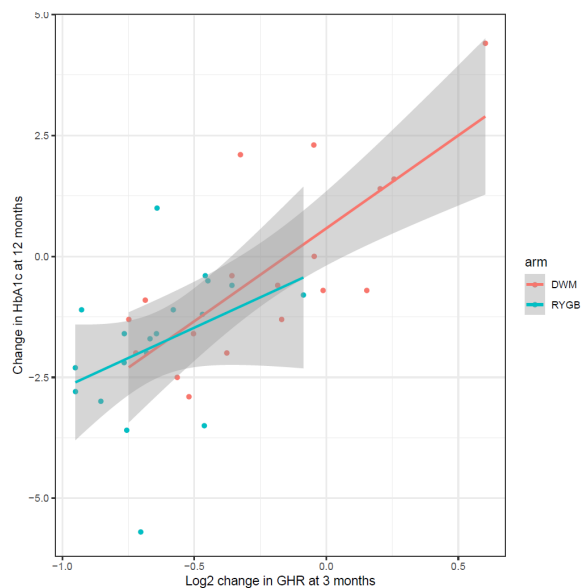
- They try to remove confounding by decomposing measured analytes into latent, independent mediators
- But latent variables are hard to interpret & confounders often unmeasured



## SLIMM-T2D Analyte mediators

- Top protein mediator
  - Growth Hormone Receptor (GHR)
- Top metabolite mediator
  - Proline-hydroxyproline
- These have stronger p-value than body mass index (BMI)
- These are consistent with previous findings, but more experiments needed to confirm their role as mediators

### Consistent mediator: GHR



## Hitman limitations

- There are possible confounders that affect both  $U_M$  and  $U_Y$ , so Hitman, like other mediation analyses, is exploratory
- Based on linear SEM, so assumes  $Y$  (perhaps after being transformed) can be modeled by linear regression model with additive  $E$  and  $M$

## Conclusion

- Hitman improves on best-in-class joint significance method for high-throughput mediation analysis
- Hitman identifies robust mediators of gastric bypass, which can be further experimentally assayed and possibly serve as future non-surgical therapeutic targets

Thanks!